

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kangguo CHENG, *et. al.*

Group Art Unit: Unknown

Serial No.: Not assigned yet

Examiner: unknown

Filed: Concurrently herewith

For: STRAINED SILICON ON A SiGe ON SOI SUBSTRATE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.56**

Sir:

Under provisions of 37 C.F.R. 1.97 through 1.99 and pursuant to applicant's duty of disclosure under 37 C.F.R. 1.56, applicants respectfully bring the documents listed on the attached Form PTO-1449 to the attention of the Examiner in charge of the above-identified application. A copy of the non-US patent documents is enclosed for the convenience of the Examiner.

This citation does not constitute an admission that the cited references are relevant or material to the claims nor should it be construed as a representation that no other art than that identified exists. They are merely cited as constituting related art of which the applicant is aware.

It is respectfully requested that these documents be considered by the Examiner and formally made of record in this application.

Respectfully submitted,



Andrew M. Calderon
Reg. No. 38,093

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FORM PTO-1449 (Modified)	ATTY. DOCKET NO. FIS9-2003-0240	SERIAL NO. Unassigned
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT: Kangguo CHENG, et al.	
(Use several sheets if necessary)	FILING DATE: Concurrently Herewith	GROUP: Unassigned

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
		6,228,694 B1	5/8/2001	Doyle et al.			
		6,406,973 B1	6/18/2002	Lee			
		6,281,532 B1	8/28/2001	Doyle et al.			
		5,683,934	11/4/97	Candelaria			
		6,368,931 B1	4/9/2002	Kuhn, et al.			
		5,310,446	5/10/94	Konishi et al.			
		4,853,076	8/1/89	Tsaur et al.			
		US 2002/0090791 A1	7/11/2002	Doyle et al.			
		US 2002/0074598 A1	6/20/2002	Doyle et al.			
		6,509,618 B2	7/21/2003	Jan et al.			
		6,476,462 B2	11/5/2002	Shimizu et al.			
		6,362,082 B1	3/26/2002	Doyle et al.			
		6,228,694 B1	5/8/2001	Doyle et al.			
		5,565,697	10/15/96	Asakawa et al.			
		US 2003/0040158 A1	2/27/2003	Saitoh			
		US 2002/0086472 A1	7/4/2002	Roberds et al.			
		6,521,964 B1	2/18/2003	Jan et al.			
		6,506,652	01/14/03	Jan, et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. FIS9-2003-0240	SERIAL NO. Unassigned
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		5,081,513	1/14/1992	Jackson, et al.			
		3,602,841	8/31/1971	McGroddy			
		6,531,740	3/11/2003	Bosco, et al.			
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		6,501,121	12/31/2002	Yu, et al.			
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		6,493,497	12/10/2002	Ramdani, et al.			
		6,403,975	6/11/2002	Brunner, et al.			
		6,361,885	3/26/2002	Chou			
		6,255,169	7/3/2001	Li, et al.			
		6,246,095	6/12/2001	Brady, et al.			
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		6,046,464	4/4/2000	Schetzina			
		6,025,280	2/15/2000	Brady, et al.			

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		5,940,736	8/17/1999	Brady, et al.			
		5,880,040	3/9/1999	Sun, et al.			
		5,861,651	1/19/1999	Brasen, et al.			
		5,679,965	10/21/1997	Schetzina			
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		5,561,302	10/1/1996	Candelaria			
		5,471,948	12/5/1995	Burroughes, et al.			
		5,459,346	10/17/1995	Asakawa, et al.			
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		4,665,415	5/12/1987	Esaki, et al.			

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	6,284,626	9/4/2001	Kim			
	6,274,444	8/14/2001	Wang			
	6,261,964	7/17/2001	Wu, et al.			
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	5,557,122	9/17/1996	Shrivastava, et al.			
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	2001/0009784	07-26-2001	Ma et al.			

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		Kern Rim, et al., "Transconductance Enhancement in Deep Submicron Strained-Si <i>n</i> -MOSFETs", International Electron Devices Meeting, 26, 8, 1, IEEE, September 1998.
		Kern Rim, et al., "Characteristics and Device Design of Sub-100 nm Strained Si N- and PMOSFETs", 2002 Symposium On VLSI Technology Digest of Technical Papers, IEEE, pp 98-99.
		Gregory Scott, et al., "NMOS Drive Current Reduction Caused by Transistor Layout and Trench Isolation Induced Stress", International Electron Devices Meeting, 34.4.1, IEEE, September 1999.
		F. Ootsuka, et al., "A Highly Dense, High-Performance 130nm node CMOS Technology for Large Scale System-on-a-Chip Application", International Electron Devices Meeting, 23.5.1, IEEE, April 2000.
		Shinya Ito, et al., "Mechanical Stress Effect of Etch-Stop Nitride and its Impact on Deep Submicron Transistor Design", International Electron Devices Meeting, 10.7.1, IEEE, April 2000.
		A. Shimizu, et al., "Local Mechanical-Stress Control (LMC): A New Technique for CMOS-Performance Enhancement", International Electron Devices Meeting, IEEE, March 2001.
		K. Ota, et al., "Novel Locally Strained Channel Technique for high Performance 55nm CMOS", International Electron Devices Meeting, 2.2.1, IEEE, February 2002.

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